

California State Board of Pharmacy 400 R Street, Suite 4070, Sacramento, CA 95814 Phone (916) 445-5014 Fax (916) 327-6308

STATE AND CONSUMER SERVICES AGENCY DEPARTMENT OF CONSUMER AFFAIRS GRAY DAVIS, GOVERNOR

DEPARTMENT OF CONSUMER AFFAIRS BOARD OF PHARMACY NORTHERN COMPLIANCE COMMITTEE MEETING MINUTES

- DATE: September 5, 2001
- TIME: 9:00 a.m. 4:00 p.m.
- LOCATION:
- Department of Consumer Affairs 400 R Street, Suite 4070 Sacramento, CA 95814

BOARD MEMBER PRESENT:

Donald, Chairperson Robert Elsner, Board Member Stanley Goldenberg, Board Member Clarence Hiura, Board Member

STAFF PRESENT:

PRESENT: Robert Ratcliff, Supervising Inspector Judith K. Nurse, Supervising Inspector Lin Hokana, Pharmacy Inspector Jeff Smith, Pharmacy Inspector Linda Kapovich, Enforcement Technician

ALSO PRESENT:

Beatrice Franziska Kuhn, RPH Luu T. Le, RPH, former PIC Charlotte Yee Wah Chun, RPH, PIC Chuck Dobbs, Director of Pharmacy Operations Michael L. Cantrell, RPH, Attorney at Law Dennis Esaki, RPH, PIC Jack Massad Arbeed, RPH Peter Kim, Pharmacy Area Supervisor Isaac Montelongo, RPH, PIC

Northern Compliance Committee September 5, 2001, Page 1 of 24

John Schaper, Assistant Director Ambulatory Services Lisa Alice Wong, RPH, PIC Paul Chan, RPH, Pharmacy Supervisor Steven Gray, Professional Affairs Leader Evelyn Joyce Pagador, RPH David L. Cheung, RPH, PIC Edwin Bruce Leong, RPH, former PIC Sagee Mahmoud, Pharmacy Development Manager Raimund Juergen Sesanto, RPH, PIC Jack B. Wallace, RPH Gene Lem, RPH, PIC Chip K. Gordet, RPH, Pharmacy Development Manager Tom Drew, Pharmacy Development Manager Lynn Bichlien Riley, RPH, PIC Ronald E. Hopkins, RPH, former PIC James Elias, Pharmacy Development Manager Mark Robert Raus, RPH, PIC Bonnie Lee Sharp, RPH Phil Lubina, RPH, Regional Pharmacy Manager Charles Edward Caplan, RPH, PIC Charles B. Fishman, RPH John W. Berger, Attorney at Law Vito Dominic Fabrizio, RPH Nelly Merzheritsky, Director, NLO Paul Meyliker, TCH, President Richard Blair, RPH, Territory Manager Louis E. Sweet, RPH, PIC Louis James Sweet, Vice President Stacy Gay Castro, Secretary Joseph S. Monge, RPH

CALL TO ORDER

Chairperson Donald Gubbins called the meeting of the Northern Compliance Committee to order at 9:00 a.m.

A. APPEARANCES REQUIRED

1. Aida F. Barzaga RPH 36018, PIC CI 1998 17240

Elaine Chan INO

> Northern Compliance Committee September 5, 2001, Page 2 of 24

Frederick Howe INO

Four Fifty Sutter Pharmacy San Francisco, CA PHY 30976

Aida F. Barzaga, Elaine Chan, Frederick Howe, and Four Fifty Sutter Pharmacy are requested to appear at the Northern Compliance meeting as the result of an investigation that revealed that Four Fifty Sutter Pharmacy dispensed Zithromax with incorrect directions for use. The prescription was written to take one tablet daily, and the directions on the container read take "4 tablets now." However, the pharmacy personnel failed to respond to the board inspector's request for an explanation of this incident.

On May 22, 2000, a violation notice was issued to Aida F. Barzaga and Four Fifty Sutter Pharmacy, for violation of:

- Business and Professions Code section 4301 (o) Unprofessional conduct.
- Business and Professions Code section 4076 (a)(2) A pharmacist shall not dispense any prescription except in a container that meets the requirements of state and federal law and is correctly labeled with all of the following: The directions for the use of the drug.
- Business and Professions Code section 4081 (a) All records of manufacture, sale, acquisition, or disposition of dangerous drugs or devices shall at all times during business hours be open to inspection by authorized officer of the law, and shall be preserved for at least 3 years.....

On May 22, 2000, a violation notice was issued to Frederick Howe, and Four Fifty Sutter Pharmacy, for violation of:

- Business and Professions Code section 4201 (f) Notwithstanding any other provision of law, the pharmacy license shall authorize the holder to conduct a pharmacy. The license shall be renewed annually and shall not be transferable.
- Business and Professions Code section 4201 (j) For licenses referred to in subdivisions (f), (g), (h), and (i), any change in the proposed beneficial ownership interest shall be reported to the board within 30 days thereafter upon a form to be furnished by the board.
- Business and Professions Code section 4301 (o) Unprofessional conduct.

This appearance was postponed prior to this meeting of the Northern Compliance Committee, and will be rescheduled for the November 2001 meeting.

Northern Compliance Committee September 5, 2001, Page 3 of 24

CI 2000 19945

2. Charlotte Yee Wah Chun RPH 50291, PIC

> Luu T. Le RPH 47955, former PIC

Beatrice Franzisha Kuhn RPH 36967

Drug Emporium #873 Fremont, CA PHY 37820

Chuck Dobbs, Director of Pharmacy Operations

Charlotte Yee Wah Chun, Luu T. Le, Beatrice Franziska Kuhn, and Drug Emporium #873, are requested to appear at the Northern Compliance meeting as the result of an investigation that revealed that Drug Emporium #873 dispensed Vitamin B6 on a prescription calling for Vitamin B12. In addition pharmacy records and patient profiles were improperly maintained, and proper patient consultation was not provided.

On June 8, 2001, letters of violation were issued to, Luu T. Le, Beatrice Franziska Kuhn, and Drug Emporium #873, for violation of:

- Business and Professions Code section 4301 Unprofessional conduct.
- California Code of Regulations section 1716 Variation from prescription.
- California Code of Regulations section 1707.1 Duty to maintain accurate patient medication profiles.
- California Code of Regulations section 1707.2 Duty to consult.

The participants and the committee discussed the circumstances surrounding the violations and what corrective actions have been taken.

M/S/C: Elsner/Goldenberg

The committee accepted the appearance of Charlotte Yee Wah Chun, Luu T. Le, Beatrice Franziska Kuhn, and Drug Emporium #873; (a) the matter will be made a part of the record of Charlotte Yee Wah Chun, RPH 50291; (b) the matter will be made a part of the record of Luu T. Le, RPH 47955; (c) the matter will be made a part of the record of Drug Emporium #873, PHY 37820; (d) Drug Emporium, PHY 37820, is cited and fined \$1,000, for failure to provide patient consultation as required; (e) Beatrice

> Northern Compliance Committee September 5, 2001, Page 4 of 24

Franziska Kuhn, RPH 36967, is cited and fined \$500, for failure to provide patient consultation as required. No further action will be taken at this time.

 3.
 Paul Hirschhorn
 CI 2000 19514

 RPH 29974, PIC
 Mario A. Santi

 Mario A. Santi
 RPH 44300

 Orriette Alice Quandt
 PH 27866

 Longs Drug #219
 Creaphres CA

Greenbrae, CA PHY 32265

Paul Hirschhorn, Mario A. Santi, Orriette Alice Quandt, and Longs Drug #219, are requested to appear at the Northern Compliance meeting as the result of an investigation that revealed that a prescription for and labeled as Cefzil 250mg/5ml suspension was dispensed without being reconstituted. Consultation was not provide. Also the "Self-Assessment" form was unavailable and not provided when requested.

On July 5, 2001, a letter of violation were issued to Paul Hirschhorn, and Longs Drug #219, for violation of:

- Business and Professions Code Section 4301 Unprofessional Conduct.
- California Code of Regulations Section 1716 Variation from Prescriptions.
- Business and Professions Code Section 4076 Prescription container, requirements for labeling.
- Business and Professions Code Section 4077 No person shall dispense any dangerous drug upon prescription except in a container correctly labeled with the information required by section 4076.
- Business and Professions Code Section 4078 False or misleading label on prescription.
- Business and Professions Code Section 4074 Drug risk: informing patient; providing consultation for discharge medications.
- California Code of Regulations Section 1707.2 Notice to Consumers and Duty to Consult.
- California Code of Regulations 1715 Self-Assessment of a Pharmacy by the Pharmacist-in-Charge.

On July 5, 2001, a letter of violation was issued to Mario A. Santi, for violation of:

- Business and Professions Code Section 4301 Unprofessional Conduct.
- Business and Professions Code Section 4074 Drug risk: informing patient; providing consultation for discharge medications.
- Business and Professions Code Section 4076 Prescription container, requirements for labeling.
- Business and Professions Code Section 4077 No person shall dispense any dangerous drug upon prescription except in a container correctly labeled with the information required by section 4076.
- Business and Professions Code Section 4078 False or misleading label on prescription.
- California Code of Regulations Section 1716 Variation from Prescriptions.
- California Code of Regulations Section 1707.2 Notice to Consumers and Duty to Consult.

This appearance was postponed prior to this meeting of the Northern Compliance Committee and will be rescheduled for the November 2001 meeting.

4. Dennis Esaki RPH 26059, PIC CI 2000 19931

Jack Massad Arbeed RPH 29037

Longs Drug Store #44 San Mateo, CA PHY 14129

Peter Kim, Pharmacy Area Supervisor Michael L. Cantrell, RPH, Attorney at Law

Dennis Esaki, Jack Massad Arbeed, and Longs Drug Store #44, are requested to appear before the Norhtern Compliance meeting as the result of an investigation that revealed that Jack Massad Arbeed Atenolol was dispensed on a refill prescription calling for Tegretal.

On June 8, 2001, letters of violation were issued to Jack Massad Arbeed, and Longs Drug Store #44, for violation of:

Northern Compliance Committee September 5, 2001, Page 6 of 24

- Business and Professions Code section 4301 Unprofessional conduct.
- California Code of Regulations section 1716 Variation from a prescription.
- Business and Professions Code section 4076 Prescription container requirements for labeling.
- Business and Professions Code section 4077 Dispensing dangerous drug in an incorrectly labeled container.
- Business and Professions Code section 4078 False or misleading label on prescription.

The participants and the committee discussed the circumstances surrounding the violations and what corrective actions have been taken.

M/S/C: Elsner/Goldenberg

The committee accepted the appearance of Dennis Esaki, Jack Massad Arbeed, and Longs Drug Store #44; (a) the matter will be made a part of the record of Jack Massad Arbeed, RPH 29037; (b) the matter will be made a part of the record of Longs Drug Store #44, PHY 14129. No further action will be taken at this time.

5. Isaac Montelongo RPH 32940 CI 2000 20213

Stanisiaus Medical Center Medical Arts Building Pharmacy Modesto, CA PHE 37287

John Schaper, Assistant Director Ambulatory Services

Isaac Montelongo, and Stanislaus Medical Center Medical Arts Building Pharmacy are requested to appear at the Northern Compliance meeting as the result of an investigation that revealed that Stanislaus Medical Center Medical Arts Building Pharmacy dispensed Clonazepam on a refill prescription calling for Clonidine.

On June 7, 2001, a letter of violation was issued to Isaac Montelongo, and Stanislaus Medical Center Medical Arts Building Pharmacy, for violation of:

• Business and Professions Code section 4301 – Unprofessional conduct.

Northern Compliance Committee September 5, 2001, Page 7 of 24 • California Code of Regulations section 1716 – Variation from prescription.

The participants and the committee discussed the circumstances surrounding the violations and what corrective actions have been taken.

M/S/C: Elsner/Hiura

The committee accepted the appearance of Isaac Montelongo and Stanislaus Medical Center Medical Arts Building Pharmacy; (a) the matter will be made a part of the record of Isaac Montelongo, RPH 32940; (b) the matter will be made a part of the record of Stanislaus Medical Center Medical Arts Building Pharmacy, PHE 37287. No further action will be taken at this time.

6. Lisa Alice Wong RPH 46252 CI 2000 20404

Walgreens #5006 San Mateo, CA PHY 44282

Paul Chan, RPH, Pharmacy Supervisor

Lisa Alice Wong, and Walgreens #5006, are requested to appear at the Northern Compliance meeting as the result of an investigation that revealed that Lisa Alice Wong dispensed Viagra on a new prescription written for Vioxx 25mg. Ms. Wong also failed to provide patient consultation as required by law.

On June 7, 2001, a letter of violation was issued to Lisa Alice Wong, and Walgreens #5006, for violation of:

- Business and Professions Code section 4301 Unprofessional conduct.
- California Code of Regulations section 1716 Variation from prescription.
- California Code of Regulations section 1707.2 Duty to consult.

The participants and the committee discussed the circumstances surrounding the violations and what corrective actions have been taken.

M/S/C: Elsner/Goldenberg

The committee accepted the appearance of Lisa Alice Wong, Walgreens #5006; (a) the matter will be made a part of the record of Lisa Alice Wong, RPH 46252; (b) the

Northern Compliance Committee September 5, 2001, Page 8 of 24

matter will be made a part of the record of Walgreens #5006, PHY 44282. No further action will be taken at this time.

7. David Kwok-Wai Cheung RPH 28542, PIC CI 2000 20203

Edwin Bruce Leong RPH 28213, former PIC

Evelyn Joyce Pagador RPH 46048

Kaiser Foundation Health Plan MOB Pharmacy #401 Fairfield, CA 94533 PHY 43294

Steven Gray, Professional Affairs Leader

David Kwok-Wai Cheung, Edwin Bruce Leong, Evelyn Joyce Pagador, and Kaiser Foundation Health Plan MOB Pharmacy #401, are requested to appear at the Northern Compliance meeting as the result of an investigation that revealed that Evelyn Joyce Pagador dispensed trifluoperazine on a refill calling for Trihexyphenidyl.

On June 7, 2001, letters of violation were issued to Evelyn Joyce Pagador, and Kaiser Foundation Health Plan MOB Pharmacy #401, for violation of:

- Business and Professions Code section 4301 Unprofessional conduct.
- California Code of Regulations section 1716 Variation from prescription.

The participants and the committee discussed the circumstances surrounding the violations and what corrective actions have been taken.

M/S/C: Goldenberg/Elsner

The committee accepted the appearance of David Kwok-Wai Cheung, Edwin Bruce Leong, Evelyn Joyce Pagador, and Kaiser Foundation Health Plan MOB Pharmacy #401; (a) the matter will be made a part of the record of Evelyn Joyce Pagador, RPH 46048; (b) the matter will be made a part of the record of Kaiser Foundation Health Plan MOB Pharmacy #401, PHY 43294. No further action will be taken at this time.

> Northern Compliance Committee September 5, 2001, Page 9 of 24

CI 1997 15630

8. Reid Bell RPH 22008, PIC

> Randall Lewis Felkel RPH 29451, former PIC

Model Pharmacy Folsom, CA PHY 37032

William Brent Cowley RPH 41504, PIC

Rite Aid #6266 Folsom, CA PHY 43773

Reid Bell, Randall Lewis Felkel, Model Drug, William Brent Cowley, and Rite Aid #6266 are requested to appear at the Northern Compliance meeting as the result of an investigation that revealed that Model Pharmacy did employ a registered drug addict thus compromising the safety and security of dangerous drugs and controlled substances. Also Reid Bell and Randall Lewis Felkel allowed a non-registered person, without education or training, to act as a pharmacy technician. This unlicensed person was allowed to steal and divert controlled substances from Model Pharmacy for her own use and, by leaving a labeled vial at a home of an acquaintance, allowed confidential patient information to be known by individuals not entitled to that information.

Randall Lewis Felkel allowed the non-registered person to reduce faxed prescription transfers for a family member to writing, a function only a pharmacist can perform. Additionally, there is no indication that Randall Lewis Felkel verified the validity of the prescriptions or refill information.

The investigation also revealed that many Scheduled II controlled substances were dispensed pursuant to triplicate prescriptions written by a prescriber who does not and has not held a DEA registration since 1996. These triplicate prescriptions were clearly marked for dispensing at a specific government facility only and could not be filled at any other pharmacy. Controlled substances and dangerous drugs were also dispensed to habitual users with no apparent evidence that the pharmacists questioned the validity or indication for the prescription. Altered triplicate and controlled substance

Northern Compliance Committee September 5, 2001, Page 10 of 24 prescriptions as well as questionable prescriptions and excessive refills were allowed to be dispensed.

Accurate records and required patient information were not maintained and records pertaining to the operation of the pharmacy were not available for inspection.

On July 28, 1998, violation notices were issued to Reid Bell, and Model Drug, for violation of:

- Business and Professions Code, Section 4301 Unprofessional Conduct.
- Code of Federal Regulations 1301.9 Failure to properly screen employees in regard to potential breach of drug security.
- California Code of Regulations, Section 1793.4 Qualifications for Registration as a Pharmacy Technician

On July 28, 1998, violation notices were issued to Randall Lewis Felkel, and Model Drug, for violation of:

- Business and Professions Code section 4301 Unprofessional conduct.
- California Code of Regulations, Section 1793.4 Qualifications for Registration as a Pharmacy Technician
- Business and Professions Code section 4116 Security of dangerous drugs and devices in pharmacy: pharmacist responsibility for individuals on premises; regulations.
- California Code of Regulations, Section 1714 Operational Standards and Security.

This appearance was postponed prior to this meeting of the Northern Compliance Committee, and will be rescheduled for the November 2001 meeting.

9. Raimund Juergen Sesanto RPH 30468, PIC CI 2000 20204

Jack B. Wallace RPH 33200

Rite Aid #5882 Monterey, CA 93940 PHY 42351

Sagee Mahmoud, Pharmacy Development Manager Northern Compliance Committee September 5, 2001, Page 11 of 24 Raimund Juergen Sesanto, Jack B. Wallace, and Rite Aid #5882, are requested to appear at the Northern Compliance meeting as the result of an investigation that revealed that Jack B. Wallace dispensed tamoxifen 10mg on a prescription written for tamoxifen 20mg. Had appropriate patient consultation been provided this error would have been detected.

On June 8, 2001, letters of violation were issued to Jack B. Wallace, and Rite Aid #5882, for violation of:

- Business and Professions Code section 4301 (c) Unprofessional conduct.
- Business and Professions Code section 4077 Dispensing dangerous drug in incorrectly labeled container.
- California Code of Regulations 1707.2 Duty to consult.

The participants and the committee discussed the circumstances surrounding the violations and what corrective actions have been taken.

Chairperson Donald Gubbins recused himself from this appearance and left the room.

M/S/C: Hiura/Goldenberg

The committee accepted the appearance of Raimund Juergen Sesanto, Jack B. Wallace, and Rite Aid #5882; (a) the matter will be made a part of the record of Jack B. Wallace, RPH 33200; (b) the matter will be made a part of the record of Rite Aid #5882, PHY 42351; (c) Jack B. Wallace, RPH 33200, is cited and fined \$500, for failure to provide patient consultation as required. No further action will be taken at this time.

10. Gene Lem RPH 42997, PIC CI 2000 20561

Rite Aid #6077 Sacramento, CA PHY 42776

Chip K. Gordet, RPH, Pharmacy Development Manager

Gene Lem and Rite Aid #6077, are requested to appear at the Northern Compliance meeting as the result of an investigation that revealed that Gene Lem dispensed Lindane in a container labeled Ibuprofen.

> Northern Compliance Committee September 5, 2001, Page 12 of 24

On June 8, 2001, a letter of violation was issued to Gene Lem and Rite Aid #6077, for violation of:

- Business and Professions Code section 4301 Unprofessional conduct.
- Business and Professions Code section 4077 (a) No person shall dispense any dangerous drug upon prescription except in a container correctly labeled with the information required by section 4076.
- California Code of Regulations section 1716 Variation from prescription.

Chairperson Donald Gubbins recused himself from this appearance and left the room.

The participants and the committee discussed the circumstances surrounding the violations and what corrective actions have been taken.

M/S/C: Goldenberg/Hiura

The committee accepted the appearance of Gene Lem and Rite Aid #6077; (a) the matter will be made a part of the record of Gene Lem, RPH 42997; (b) the matter will be made a part of the record of Rite Aid #6077, PHY 42776; (c) A follow up inspection is ordered to ensure compliance with pharmacy law. No further action will be taken at this time.

11. Lynn Bichlien Riley RPH 48734, PIC CI 2000 19658

Ronald E. Hopkins RPH 27504, former PIC

Rite Aid #6010 Modesto, CA PHY 43821

Tom Drew, Pharmacy Development Manager

Lynn Bichlien Riley, Ronald E. Hopkins, and rite Aid #6010, are requested to appear at the Northern Compliance meeting as the result of an investigation that revealed that Ronald E. Hopkins dispensed Purinethol on a prescription calling for Propylthiouracil.

> Northern Compliance Committee September 5, 2001, Page 13 of 24

On June 12, 2001, letters of violation were issued to Ronald E. Hopkins, and Rite Aid # 6010, for violation of:

- Business and Professions Code section 4301 Unprofessional conduct.
- California Code of Regulations section 1716 Variation from a prescription.
- California Code of Regulations section 1707.2 Duty to consult.

The participants and the committee discussed the circumstances surrounding the violations and what corrective actions have been taken.

M/S/C: Goldenberg/Hiura

The committee accepted the appearance of Lynn Bichien Riley, Ronald E. Hopkins, and Rite Aid #6010; (a) the matter will be made a part of the record of Ronald E. Hopkins, RPH 27504; (b) the matter will be made a part of the record of Rite Aid #6010, PHY 43821. No further action will be taken at this time.

12. Ashish G. Kumar RPH 50679, PIC CI 2000 20102

Cam Tri Nguyen RPH 42119, former PIC

Hardeep Singh Dhadli RPH 47159, former PIC

Wal-Mart Pharmacy #10-1903 Yuba City, CA PHY 38992

Cam Tri Nguyen, Hardeep Singh Dhadli, and Wal-Mart Pharmacy #10-1903, are requested to appear at the Northern Compliance meeting as the result of an investigation that revealed that Cam Tri Nguyen dispensed Prozac on a prescription calling for Paxil.

On June 13, 2001, letters of violation were issued to Cam Tri Nguyen, and Wal-Mart Pharmacy #10-1903, for violation of:

- Business and Professions Code section 4301 Unprofessional conduct.
- California Code of Regulations section 1716 Variation from prescription.

Northern Compliance Committee September 5, 2001, Page 14 of 24 This appearance was postponed prior to this meeting of the Northern Compliance Committee and will be rescheduled at the November 2001 meeting.

13. Mark Robert Raus RPH 38734, PIC CI 1999 19540

Bonnie Lee Sharp RPH 37145

Raley's Drugs #492 Roseville, CA PHY 34844

James Elias, Pharmacy Development Manager

Mark Robert Raus, Bonnie Lee Sharp, and Raley's Drugs #492, are requested to appear at the Northern Compliance meeting as the result of an investigation that revealed that Bonnie Lee Sharp dispensed promethazine with codeine syrup on a prescription calling for Zyrtec. This medication was dispensed for a five-year-old patient. In addition Raley's Drug #492 dispensed Singulair on a prescription without a strength indicated. The medication had previously been prescribed to the patient, so the medication was dispensed in the same strength as the previous medication, and the dosage was confirmed with the prescriber on the next business day.

On July 6, 2001, letters of violation were issued to Bonnie Lee Sharp, and Raley's Drugs #492, for violation of:

- Business and Professions Code Section 4301 Unprofessional Conduct.
- California Code of Regulations Section 1716 Variation from Prescriptions.

The participants and the committee discussed the circumstances surrounding the violations and what corrective actions have been taken.

M/S/C: Elsner/Hiura

The committee accepted the appearance of Mark Robert Raus, Bonnie Lee Sharp, and Raley's Drugs #492; (a) the matter will be made a part of the record of Bonnie Lee Sharp, RPH 37145; (b) the matter will be made a part of the record of Raley's Drugs #492, PHY 34844; (c) A follow up inspection is ordered within 90 days, to ensure compliance with quality assurance procedures. No further action will be taken at this time.

> Northern Compliance Committee September 5, 2001, Page 15 of 24

CI 2000 20024

14. Charles Edward Caplan RPH 26046, PIC

> Charles B. Fishman RPH 22302

Safeway Pharmacy #950 Guerneville, CA PHY 34041

Phil Lubina, RPH, Regional Pharmacy Manager John W. Berger, Attorney at Law

Charles Edward Caplan, Charles B. Fishman, and Safeway Pharmacy #950, are requested to appear at the Northern Compliance meeting as the result of an investigation that revealed that Charles B. Fishman failed to adequately manage the perpetual inventory logs and could not explain the Safeway Pharmacy #950 shortages and overages on schedule II controlled substances.

On April 27, 2001, a violation notice was issued to Charles B. Fishman, and Safeway Pharmacy #950, for violation of:

- Business and Professions Code section 4301 Unprofessional conduct.
- California Code of Regulations section 1714 (d) Each pharmacist while on duty shall be responsible for the security of the prescription department, including provisions for effective control against theft or diversion of dangerous drugs and devices, and records for such drugs and devices. Possession of a key to the pharmacy where dangerous drugs and controlled substances are stored shall be restricted to a pharmacist.
- Health and Safety Code section 11208 Prima facie evidence of violation of controlled substance act.
- Business and Professions Code section 4081 (a) All records of manufacture, sale, acquisition, or disposition of dangerous drugs or devices shall at all times during business hours be open to inspection by authorized officer of the law, and shall be preserved for at least 3 years....
- California Code of Regulations section 1718 Current inventory defined.
- Business and Professions Code section 4081 (b) The owner, officer, & partner of any pharmacy, wholesaler, veterinary food-animal drug, or medical device retailer shall be jointly responsible with the PIC or exemptee for maintaining the records & inventory described in this section.

Northern Compliance Committee September 5, 2001, Page 16 of 24 • Business and Professions Code section 4113 (b) - The pharmacist-in-charge shall be responsible for a pharmacy's compliance with all state and federal laws and regulations pertaining to the practice of pharmacy.

The participants and the committee discussed the circumstances surrounding the violations and what corrective actions have been taken.

M/S/C: Goldenberg/Elsner

The committee accepted the appearance of Charles Edward Caplan, Charles B. Fishman, and Safeway Pharmacy #950; (a) the matter will be made a part of the record of Charles B. Fishman, RPH 22302; (b) the matter will be made a part of the record of Safeway Pharmacy #950, PHY 34041; (c) A follow up inspection is ordered within 90 days to ensure compliance with inventory control of CII medications; (d) A spot audit on CII medications is to be performed during inspection. No further action will be taken at this time.

15. Vito Dominic Fabrizio RPH 25019

CI 2000 20706

Nelly Merzheritsky TCH 6982, President

Harbor Capitol Pharmacy Sacramento, CA PHY 44222

Richard Blair, RPH, Territory Manager for Asereth Medical Services, Inc.

Vito Dominic Fabrizio, Paul Meyliker, and Harbor Capitol Pharmacy are requested to appear at the Northern Compliance meeting as the result of an investigation that revealed that Harbor Capitol Pharmacy has had no PIC since October 2000.

On April 24, 2001, a violation notice was issued to Paul Meyliker, and Harbor Capitol Pharmacy, for violation of:

- Business and Professions Code section 4301 Unprofessional conduct.
- Business and Professions Code section 4113 (a) Every pharmacy shall designate a pharmacist-in-charge and within 30 days there of, shall notify the

Northern Compliance Committee September 5, 2001, Page 17 of 24 board in writing of the identity and license number of that pharmacist and the date he or she was designated.

- Business and Professions Code section 4113 (c) Every pharmacy shall notify the board within 30 days of the date when a pharmacist ceases to be a pharmacist-in-charge.
- Business and Professions Code section 4305 (a) Any person who has obtained a license to conduct a pharmacy, shall notify the board within 30 days of the termination of employment of any pharmacist who takes charge of, or acts as manager of the pharmacy. Failure to notify the board within the 30-day period shall constitute grounds for disciplinary action.

The participants and the committee discussed the circumstances surrounding the violations and what corrective actions have been taken.

M/S/C: Elsner/Goldenberg

The committee accepted the appearance of Vito Dominic Fabrizio, Melly Merzheritsky, Paul Meyliker, and Harbor Capitol Pharmacy; (a) the matter will be made a part of the record of Paul Meyliker, TCH 6982; (b) the matter will be made a part of the record of Harbor Capitol Pharmacy, PHY 44222; (d) A follow up inspection is ordered within 30 days to ensure compliance with pharmacist-in-charge requirements. No further action will be taken at this time.

16. Victoria Carter NLO CI 2000 20207

Carter's Elmwood Pharmacy Berkeley, CA PHY 40571

Victoria Carter, and Carter's Elmwood Pharmacy are requested to appear at the Northern Compliance meeting as the result of an investigation that revealed that Carter's Elmwood Pharmacy has not had a PIC of record since December 1999.

On July 3, 2001, a letter of violation was issued to Victoria Carter, and Carter's Elmwood Pharmacy, for violation of:

- Business and Professions Code section 4301 (c) Unprofessional conduct.
- Business and Professions Code section 4113 (a) Every pharmacy shall designate a pharmacist-in-charge and within 30 days there of, shall notify the

Northern Compliance Committee September 5, 2001, Page 18 of 24 board in writing of the identity and license number of that pharmacist and the date he or she was designated.

Victoria Carter moved and did not notify the board of her change of address of record.

This appearance was postponed prior to this meeting of the Northern Compliance Committee and will be rescheduled for the November 2001 meeting.

17. Louis E. Sweet RPH 22251, PIC, President CI 1999 18815

Louis James Sweet Vice President

Stacey Gay Castro Secretary

Redwood Pharmacy Lindsay, CA PHY 16872

Louis E. Sweet and Redwood Pharmacy, are requested to appear at the Northern Compliance meeting as the result of an investigation that revealed that January 2, 2000, Louis E. Sweet dispensed Lasix 20 mg on a prescription written for Paxil 20mg.

On March 27, 2001, a letter of violation was issued to Louis E. Sweet and Redwood Pharmacy, for violation of:

- Business and Professions Code section 4301 Unprofessional conduct.
- Business and Professions Code section 4077 (a) No person shall dispense any dangerous drug upon prescription except in a container correctly labeled with the information required by section 4076.
- California Code of Regulations section 1716 Variation from a prescription.

The participants and the committee discussed the circumstances surrounding the violations and what corrective actions have been taken.

M/S/C: Goldenberg/Elsner

Northern Compliance Committee September 5, 2001, Page 19 of 24 The committee accepted the appearance of Louis E. Sweet, Joseph S.. Monge, Louis James Sweet, Statcey Gay Castro, and Redwood Pharmacy; (a) the matter will be made a part of the record of Louise E. Sweet, RPH 22251; (b) the matter will be made a part of the record of Redwood Pharmacy, PHY 16872; (c) Monthly follow up inspections are ordered on an on going basis to ensure compliance with all pharmacy laws; (d) Seek expert assistance to evaluate the pharmacy's process for filling prescriptions and develop a quality assurance program. No further action will be taken at this time.

CI 1999 19436

18. Louis E. Sweet RPH 22251, PIC, President

> Joseph S. Monge RPH 22518

Louis James Sweet Vice President

Stacey Gay Castro Secretary

Redwood Pharmacy Lindsay, CA PHY 16872

Louis E. Sweet, Joseph S. Monge, and Redwood Pharmacy, are requested to appear at the Northern Compliance meeting as the result of an investigation that revealed that Joseph S. Monge dispensed Coumadin 4mg on a refill prescription calling for Cardura 4mg.

This investigation also revealed that on November 10, 2000, the board received a copy of the Redwood Pharmacy's Self-Assessment form. Louis S. Sweet marked not applicable to section 2, which pertains to posting the Notice to Consumers in the pharmacy as required by law.

On March 27, 2001, a letter of violation was issued to Louis E. Sweet, and Redwood Pharmacy, for violation of:

- Business and Professions Code section 4301 Unprofessional conduct.
- California Code of Regulations section 1716 Variation from a prescription.
- California Code of Regulations section 1707.2 Notice to consumer and Duty to consult.

Northern Compliance Committee September 5, 2001, Page 20 of 24 On March 27, 2001, a letter of violation was issued to Joseph S. Monge, for violation of:

- Business and Professions Code section 4301 Unprofessional conduct.
- California Code of Regulations section 1716 Variation from a prescription.

The participants and the committee discussed the circumstances surrounding the violations and what corrective actions have been taken.

M/S/C: Goldenberg/Elsner

The committee accepted the appearance of Louis E. Sweet, Joseph S.. Monge, Louis James Sweet, Statcey Gay Castro, and Redwood Pharmacy; (a) the matter will be made a part of the record of Louise E. Sweet, RPH 22251; (b) the matter will be made a part of the record of Joseph S. Monge, RPH 22518; (c) the matter will be made a part of the record of Redwood Pharmacy, PHY 16872; (d) Monthly follow up inspections are ordered on an on going basis to ensure compliance with all pharmacy laws; (e) Seek expert assistance to evaluate the pharmacy's process for filling prescriptions and to develop a quality assurance program. No further action will be taken at this time.

19. Louis E. Sweet RPH 22251, PIC, President CI 1999 19795

Joseph S. Monge RPH 22518

Louis James Sweet Vice President

Stacey Gay Castro Secretary

Redwood Pharmacy Lindsay, CA PHY 16872

Louis E. Sweet, Joseph S. Monge, and Redwood Pharmacy, are requested to appear at the Northern Compliance meeting as the result of an investigation that

Northern Compliance Committee September 5, 2001, Page 21 of 24 revealed that on June 14, 2000, Joseph S. Monge dispensed Zocor 20mg on a refill prescription calling for Zocor 10mg.

This investigation also revealed that on November 10, 2000, the board received a copy of the Redwood Pharmacy's Self-Assessment form. Louis S. Sweet marked not applicable to section 2, which pertains to posting the Notice to Consumers in the pharmacy as required by law.

On March 27, 2001, a letter of violation was issued to Joseph S. Monge, and Redwood Pharmacy, for violation of:

- Business and Professions Code section 4301 Unprofessional conduct.
- California Code of Regulations section 1716 Variation from a prescription.

The participants and the committee discussed the circumstances surrounding the violations and what corrective actions have been taken.

M/S/C: Goldenberg/Elsner

The committee accepted the appearance of Louis E. Sweet, Joseph S.. Monge, Louis James Sweet, Statcey Gay Castro, and Redwood Pharmacy; (a) the matter will be made a part of the record of Joseph S. Monge, RPH 22518; (b) the matter will be made a part of the record of Redwood Pharmacy, PHY 16872; (c) Monthly follow up inspections are ordered on an on going basis to ensure compliance with all pharmacy laws; (d) Seek expert assistance to evaluate the pharmacy's process for filling prescriptions and to develop a quality assurance program. No further action will be taken at this time.

20. Louis E. Sweet RPH 22251, PIC, President

> Joseph S. Monge RPH 22518

Louis James Sweet Vice President

Stacey Gay Castro Secretary

Redwood Pharmacy Lindsay, CA

Northern Compliance Committee September 5, 2001, Page 22 of 24 CI 2000 20523

PHY 16872

Louis E. Sweet, Joseph S. Monge, and Redwood Pharmacy, are requested to appear at the Northern Compliance meeting as the result of an investigation that revealed that on December 18, 2000, Joseph S. Monge dispensed Prilosec 20mg on a refill prescription calling for Celebrex 20mg.

On August 8, 2001, a letter of violation was issued to Joseph S. Monge, and Redwood Pharmacy, for violation of:

- Business and Professions Code section 4301 Unprofessional conduct.
- California Code of Regulations section 1716 Variation from a prescription.
- Business and Professions Code section 4076 Prescription container requirements for labeling.

The participants and the committee discussed the circumstances surrounding the violations and what corrective actions have been taken.

M/S/C: Goldenberg/Elsner

The committee accepted the appearance of Louis E. Sweet, Joseph S.. Monge, Louis James Sweet, Statcey Gay Castro, and Redwood Pharmacy; (a) the matter will be made a part of the record of Joseph S. Monge, RPH 22518; (b) the matter will be made a part of the record of Redwood Pharmacy, PHY 16872; (c) Monthly follow up inspections are ordered on an on going basis to ensure compliance with all pharmacy laws; (d) Seek expert assistance to evaluate the pharmacy's process for filling prescriptions and to develop a quality assurance program. No further action will be taken at this time.

21. Louis E. Sweet RPH 22251, PIC, President

> Louis James Sweet Vice President

Stacey Gay Castro Secretary

Redwood Pharmacy Lindsay, CA PHY 16872

> Northern Compliance Committee September 5, 2001, Page 23 of 24

CI 1999 19601

Louis E. Sweet, and Redwood Pharmacy, are requested to appear at the Northern Compliance meeting as the result of an investigation that revealed that on or about June 15, 2000, Louis E. Sweet dispensed Zocor on a refill prescription calling for Zestril 40mg.

On March 15, 2001, a letter of violation was issued to Louis E. Sweet, and Redwood Pharmacy, for violation of:

- Business and Professions Code section 4301 Unprofessional conduct.
- California Code of Regulations section 1716 Variation from a prescription.

The participants and the committee discussed the circumstances surrounding the violations and what corrective actions have been taken.

M/S/C: Goldenberg/Elsner

The committee accepted the appearance of Louis E. Sweet, Joseph S.. Monge, Louis James Sweet, Statcey Gay Castro, and Redwood Pharmacy; (a) the matter will be made a part of the record of Louise E. Sweet, RPH 22251; (b) the matter will be made a part of the record of Redwood Pharmacy, PHY 16872; (c) Monthly follow up inspections are ordered on an on going basis to ensure compliance with all pharmacy laws; (d) Seek expert assistance to evaluate the pharmacy's process for filling prescriptions and to develop a quality assurance program. No further action will be taken at this time.

There being no additional discussion, the meeting was adjourned at 5:00 p.m.